Substi		Form 1449A/PT many sheets as neces		Attorney Docket No.: 04688P055	Application Numb		
	-			First Named Inventor:	Dan Kikinis	28 0.00	
				Filing Date:	Herewith		
			τ	J.S. PATENT DOCUMENTS		30	
Exam.	Cite	U.S. Patent Document		Name of Patentee or Applicant	Date of Publication	Pages,	
Initial*	No.'	Number	Kind Code ² (If known)	of Cited Document	of Cited Document MM-DD-YYYY	Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
AS		5,414,773		Handelman	05-09-1995		
		5,479,268		Young et al.	12-26-1995	/	
		5,532,754		Young et al.	07-02-1996	/	
		5,737,028		Bertram et al.	04-07-1998		
		5,751,282		Girard et al.	05-12-1998		
		5,818,441		Throckmorton et al.	10-06-1998	1.	
		5,841,563		Effenberger	11-24-1998		
		5,900,915		Morrison	05-04-1999		
		5,926,168		Fan	07-20-1999	/	
		5,956,456		Bang et al.	09-21-1999		
		5,999,167		Marsh et al.	12-07-1999		
		6,029,195		Herz	02-22-2000		
		6,075,575		Schein et al.	06-13-2000		
		6,167,188		Young et al.	12-26-2000		
		6,205,485	B1	Kikinis	03-20-2001		
		6,233,389	B1	Barton et al.	05-15-2001	_	
		(054 004					

Exam. Initial*	Cite No.	Foreign Patent Document			PREIGN PATENT DOCUMENTS Name of Patentee or Applicant		Date of	Pages,	Т6
		Office ³	Number ⁴	Kind Code ⁵ (If known)	of Cited Document		Publication of Cited Document MM-DD- YYYY	Columns, Lines, Where Relevant Passages or Relevant Figures Appear	1
15		wo	00/01149	A1	NDS Limited		01-06-2000		
		JP	410093880)A	-KOMI, et al.				n
Examiner Signature		2-5			5	Da	te Considered	06/201	100

Escobosa et al.

Reiter et al.

Hallenbeck

08-07-2001

06-14-1998

08-06-1991

'Unique citation designation number. 'See attached Kinds of U.S. Patent Documents. 'Enter Office that issued the document, by the twoletter code (WIPO Standard S.3). 'For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. 'Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 'Applicant is to place a check mark here if English language Translation is attached. Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual

case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

6,271,831

4,751,578

5,038,211

B1

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.